



www.hill-top.com

**REPORT FOR**

**ASSESSMENT OF RAPID GERMICIDAL (TIME KILL)  
ACTIVITY FOR UltraBlu™ TOOTHBRUSH**

**HTR Study No.: 08-129295-106**

**November 26, 2008**

**FOR**

**TECHLIGHT SYSTEMS, INC.**

**P.O. Box 190**

**Dunnellon, FL 34430**

**BY**

**HILL TOP RESEARCH CORPORATION**

**6088 Main & Mill Streets**

**Miamiville, Ohio 45147**

## TABLE OF CONTENTS

1.0	SUMMARY .....	1
2.0	PURPOSE .....	2
3.0	STUDY SPONSOR .....	2
4.0	INVESTIGATIVE PERSONNEL.....	2
5.0	RESEARCH STANDARDS.....	2
6.0	PROTOCOL.....	2
7.0	STUDY SCHEDULE.....	3
8.0	TEST ARTICLES .....	3
9.0	PROTOCOL.....	3
10.0	RESULTS .....	3
11.0	CONCLUSION.....	3
12.0	SIGNATURE.....	4

## APPENDICES

Appendix I	Summary Table and Tables of Results .....	5
Appendix II	Summary Table and Tables of Results – Protocol Amendment #1 .....	11
Appendix III	Protocol.....	14

RECORD RETENTION AND PUBLICATION NOTICE.....	29
--	----

## 1.0 SUMMARY

- The test article identified as UltraBlu™ Toothbrush was received from TechLight Systems, Inc. for use in this test.
- The test article was tested in triplicate against the test organisms contained in whole saliva collected from healthy human volunteers (unrestricted), *Candida albicans*, ATCC 18804, *Fusobacterium nucleatum*, ATCC 10953 and *Porphyromonas gingivalis*, ATCC 33277 with a 2 minute exposure to the UltraBlu™ Toothbrush "blue light."
- After an exposure period of two minutes, no reduction in numbers of *F. nucleatum* or organisms contained in human saliva was seen. Under the same test conditions, The test organism *C. albicans* showed a 7% reduction in yeast organisms and the test organism *P. gingivalis* showed a 17% reduction in the number of bacteria.
- An additional test article, identified as UltraBlu™ Toothbrush (engineering prototype) was received from TechLight Systems, Inc. on October 29, 2008 for use in this test as directed in Protocol Amendment #1. This test exposed the test organism *P. gingivalis* to ten, 2 minute exposures over a 29 minute period (2 minutes on, 1 minute off, 10 times).
- When compared to an untreated control, the test article, UltraBlu™ Toothbrush (engineering prototype) showed a 99.39% reduction ( $2.22 \log_{10}$ ) in numbers of the test organism *P. gingivalis* after 10 - 2 minute exposures over 29 minutes.

## **2.0 PURPOSE**

The purpose of this study was to evaluate the antibacterial effect of the UltraBlu™ Toothbrush “blue light” in reducing bacteria found in human saliva and other selected microorganisms known to be present in the mouth when artificially deposited on a glass surface and exposed to the “blue light” under simulated use conditions without brushing.

## **3.0 STUDY SPONSOR**

TechLight Systems, Inc.  
P.O. Box 190  
Dunnellon, FL 34430

**SPONSOR REPRESENTATIVE:** Michael Barnes

## **4.0 INVESTIGATIVE PERSONNEL**

Study Director: Kathleen A. Baxter, B.S.  
Study Manager: Jane M. Young, B.S.  
Study Coordinator: Margaret K. Haines, B.S.

## **5.0 RESEARCH STANDARDS**

This study was conducted according to standardized microbiological laboratory practices as outlined in the Standard Operating Procedures of Hill Top Research Corporation.

## **6.0 PROTOCOL**

The study protocol and Protocol Amendment #1 as described in Appendix III were followed.

## 7.0 STUDY SCHEDULE

Date Study Initiated: October 9, 2008  
Date Study Completed: November 26, 2008

## 8.0 TEST ARTICLES

The test article identified as UltraBlu™ Toothbrush was received from TechLight Systems, Inc. on September 23, 2008, for use in this test.

An additional test article, identified as UltraBlu™ Toothbrush (engineering prototype) was received from TechLight Systems, Inc. on October 29, 2008 for use in this test as directed in Protocol Amendment #1.

The test article received October 29, 2008 will be returned to the sponsor upon completion of testing.

## 9.0 PROTOCOL

The study protocol and Protocol Amendment #1 were followed.

## 10.0 RESULTS

The Summary Table and Tables of Results are presented in Appendix I for the 2 minute exposure period and the Summary Table and Table of Results-Protocol Amendment #1 (10, 2 minute exposure periods) is presented in Appendix II.

## 11.0 CONCLUSION

Conclusions, as a result of testing, are as follows:

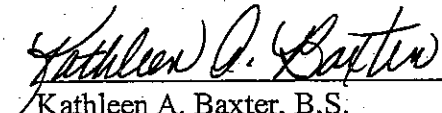
- The test article, identified as UltraBlu™ Toothbrush, was tested in triplicate against the test organisms contained in whole saliva collected from healthy human volunteers (unrestricted), *Candida albicans*, ATCC 18804, *Fusobacterium nucleatum*, ATCC 10953 and *Porphyromonas gingivalis*, ATCC 33277 with a 2 minute exposure to the UltraBlu™ Toothbrush "blue light."

## 11.0 CONCLUSION CON'T.

- After an exposure period of two minutes, no reduction in numbers of *F. nucleatum* or organisms contained in human saliva was seen. Under the same test conditions, the test organism *C. albicans* showed a 7% reduction in yeast organisms and the test organism *P. gingivalis* showed a 17% reduction in the number of bacteria.
- An additional test article, identified as UltraBlu™ Toothbrush (engineering prototype) was received from TechLight Systems, Inc. on October 29, 2008 for use in this test as directed in Protocol Amendment #1. This test exposed the test organism *P. gingivalis* to ten, 2 minute exposures over a 29 minute period (2 minutes on, 1 minute off; 10 times).
- When compared to an untreated control, the test article, UltraBlu™ Toothbrush (engineering prototype) showed a 99.39% reduction (2.22 log<sub>10</sub>) in numbers of the test organism *P. gingivalis* after 10 - 2 minute exposures over 29 minutes.

## 12.0 SIGNATURE

HILL TOP RESEARCH CORPORATION

  
Kathleen A. Baxter, B.S.                      11/26/08  
Study Director                                      Date  
Microbiology Business Unit

# **APPENDIX I**

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

**HILL TOP RESEARCH CORPORATION**

**APPENDIX I**

**SUMMARY TABLE AND TABLES OF RESULTS**



**SUMMARY TABLE OF RESULTS**  
**LOG<sub>10</sub> REDUCTION with UltraBlu™ Toothbrush after Two Minutes Exposure**

Test Organism		Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
<i>Candida albicans</i> , ATCC 18804	Numbers Control at 2 minutes	1.3 x 10 <sup>6</sup>	6.11	NA	NA
	Treatment at Exposure Time of 2 minutes	1.2 x 10 <sup>6</sup>	6.08	7.69	0.03
<i>Fusobacterium nucleatum</i> , ATCC 10953	Numbers Control at 2 minutes	4.4 x 10 <sup>5</sup>	5.64	NA	NA
	Treatment at Exposure Time of 2 minutes	4.7 x 10 <sup>5</sup>	5.67	NR	NR
<i>Porphyromonas gingivalis</i> , ATCC 33277	Numbers Control at 2 minutes	2.9 x 10 <sup>5</sup>	5.46	NA	NA
	Treatment at Exposure Time of 2 minutes	2.4 x 10 <sup>5</sup>	5.38	17.24	0.08
Saliva	Numbers Control at 2 minutes	2.1 x 10 <sup>7</sup>	7.32	NA	NA
	Treatment at Exposure Time of 2 minutes	2.2 x 10 <sup>7</sup>	7.41	NR	NR

NA = Not Applicable  
 NR = No Reduction

**TABLE I OF RESULTS**  
**REDUCTION IN NUMBERS OF *Candida albicans*, ATCC 18804**  
**With UltraBlu™ Toothbrush**

**Test Period:** October 13 – 15, 2008      **Incubation:** 48 ± 4 hours at 25 ± 2°C  
**Test Organism:** *C. albicans*, ATCC 18804      **Exposure Period:** 2 minutes at 37 ± 2°C

	Replicate	Bottle*			10 <sup>-1</sup>	10 <sup>-2</sup>	10 <sup>-3</sup>	10 <sup>-4</sup>	10 <sup>-5</sup>	Count (CFU/mL)	Count (CFU/surface)	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
Numbers Control at 2 minutes	A	TNTC <sup>a</sup>	TNTC	TNTC	TNTC	TNTC	<u>37<sup>b</sup></u>	8	0	3.1 x 10 <sup>4</sup>	6.2 x 10 <sup>5</sup>	1.3 x 10 <sup>6</sup>	6.11	NA <sup>c</sup>	NA
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>25</u>	4	0						
	B	TNTC	TNTC	TNTC	TNTC	TNTC	<u>52</u>	8	1	7.4 x 10 <sup>4</sup>	1.5 x 10 <sup>6</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>95</u>	12	0						
	C	TNTC	TNTC	TNTC	TNTC	TNTC	<u>81</u>	8	0	8.8 x 10 <sup>4</sup>	1.8 x 10 <sup>6</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>94</u>	13	1						
Treatment at Exposure Time of 2 minutes	A	TNTC	TNTC	TNTC	TNTC	TNTC	<u>59</u>	11	0	7.0 x 10 <sup>4</sup>	1.4 x 10 <sup>6</sup>	1.2 x 10 <sup>6</sup>	6.08	7.69	0.03
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>82</u>	7	0						
	B	TNTC	TNTC	TNTC	TNTC	TNTC	<u>64</u>	4	0	7.7 x 10 <sup>4</sup>	1.5 x 10 <sup>6</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>90</u>	7	0						
	C	TNTC	TNTC	TNTC	TNTC	TNTC	<u>30</u>	3	0	3.6 x 10 <sup>4</sup>	7.2 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>41</u>	3	0						

**Inoculum Count**

10 <sup>-5</sup>		10 <sup>-6</sup>		10 <sup>-7</sup>		Count (CFU/mL)	Theoretical CFU/surface (CFU/mL X 30 µl X 0.001)
TNTC	TNTC	<u>57</u>	<u>54</u>	4	1	5.6 x 10 <sup>7</sup>	1.7 x 10 <sup>6</sup>

\*1.0 mL plated across 3 plates in duplicate  
<sup>a</sup>TNTC = Too Numerous To Count

<sup>b</sup> Underlined values used in calculations  
<sup>c</sup> NA = Not Applicable

<sup>d</sup> LA = Lab Accident; gross plate contamination

**TABLE II OF RESULTS**  
**REDUCTION IN NUMBERS OF *Fusobacterium nucleatum*, ATCC 10953**  
**With UltraBlu™ Toothbrush**

**Test Period:** October 13 – 15, 2008  
**Test Organism:** *F. nucleatum*, ATCC 10953  
**Incubation:** 48 ± 4 hours at 25 ± 2°C  
**Exposure Period:** 2 minutes at 37 ± 2°C

	Replicate	Bottle*			10 <sup>-1</sup>	10 <sup>-2</sup>	10 <sup>-3</sup>	10 <sup>-4</sup>	10 <sup>-5</sup>	Count (CFU/mL)	Count (CFU/surface)	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
Numbers Control at 2 minutes	A	TNTC <sup>a</sup>	TNTC	TNTC	<u>202</u> <sup>b</sup>	19	0	0	0	1.8 x 10 <sup>3</sup>	3.6 x 10 <sup>4</sup>	4.4 x 10 <sup>5</sup>	5.64	NA <sup>c</sup>	NA
		TNTC	TNTC	TNTC	<u>151</u>	19	0	0	0						
	B	TNTC	TNTC	TNTC	TNTC	TNTC	<u>49</u>	2	0	4.4 x 10 <sup>4</sup>	8.8 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>38</u>	3	1						
	C	TNTC	TNTC	TNTC	TNTC	<u>235</u>	22	2	0	2.1 x 10 <sup>4</sup>	4.2 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>194</u>	20	0	1						
Treatment at Exposure Time of 2 minutes	A	TNTC	TNTC	TNTC	TNTC	TNTC	<u>33</u>	5	0	2.9 x 10 <sup>4</sup>	5.8 x 10 <sup>5</sup>	4.7 x 10 <sup>5</sup>	5.67	NR <sup>d</sup>	NR
		TNTC	TNTC	TNTC	TNTC	TNTC	<u>25</u>	2	0						
	B	TNTC	TNTC	TNTC	TNTC	292	<u>23</u>	1	0	3.0 x 10 <sup>4</sup>	6.0 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	318	<u>38</u>	6	0						
	C	TNTC	TNTC	TNTC	TNTC	<u>126</u>	12	0	0	1.2 x 10 <sup>4</sup>	2.4 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>124</u>	6	2	0						

**Inoculum Count**

10 <sup>-5</sup>		10 <sup>-6</sup>		10 <sup>-7</sup>		Count (CFU/mL)	Theoretical CFU/surface (CFU/mL X 30 µl X 0.001)
TNTC	TNTC	<u>27</u>	<u>34</u>	1	3	3.0 x 10 <sup>7</sup>	9.0 x 10 <sup>5</sup>

\*1.0 mL plated across 3 plates in duplicate  
<sup>a</sup>TNTC = Too Numerous To Count

<sup>b</sup> Underlined values used in calculations  
<sup>c</sup> NA = Not Applicable

<sup>d</sup> NR = No Reduction

**TABLE III OF RESULTS**  
**REDUCTION IN NUMBERS OF *Porphyromonas gingivalis*, ATCC 33277,**  
**With UltraBlu™ Toothbrush**

**Test Period:** October 13 – 15, 2008      **Incubation:** 48 ± 4 hours at 25 ± 2°C  
**Test Organism:** *P. gingivalis*, ATCC 33277      **Exposure Period:** 2 minutes at 37 ± 2°C

	Replicate	Bottle*			10 <sup>-1</sup>	10 <sup>-2</sup>	10 <sup>-3</sup>	10 <sup>-4</sup>	10 <sup>-5</sup>	Count (CFU/mL)	Count (CFU/surface)	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
		TNTC <sup>a</sup>	TNTC	TNTC	TNTC	<u>98</u> <sup>b</sup>	6	0	0	9.7 x 10 <sup>3</sup>	1.9 x 10 <sup>5</sup>	2.9 x 10 <sup>5</sup>	5.46	NA <sup>c</sup>	NA
Numbers Control at 2 minutes	A	TNTC	TNTC	TNTC	TNTC	<u>96</u>	7	1	0	1.8 x 10 <sup>4</sup>	3.6 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>166</u>	<u>26</u>	1	0						
	B	TNTC	TNTC	TNTC	TNTC	<u>152</u>	<u>13</u>	0	0	1.6 x 10 <sup>4</sup>	3.2 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>154</u>	11	3	0						
	C	TNTC	TNTC	TNTC	TNTC	<u>160</u>	18	3	0	1.0 x 10 <sup>4</sup>	2.0 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>96</u>	LA <sup>d</sup>	0	0						
Treatment at Exposure Time of 2 minutes	A	TNTC	TNTC	TNTC	TNTC	<u>115</u>	11	0	0	1.7 x 10 <sup>4</sup>	3.4 x 10 <sup>5</sup>	2.4 x 10 <sup>5</sup>	5.38	17.24	0.08
		TNTC	TNTC	TNTC	TNTC	<u>164</u>	10	2	0						
	B	TNTC	TNTC	TNTC	TNTC	<u>176</u>	15	1	0	8.6 x 10 <sup>3</sup>	1.7 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>96</u>	8	1	0						
	C	TNTC	TNTC	TNTC	TNTC	<u>76</u>	14	0	0	1.2 x 10 <sup>7</sup>	3.6 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC										

**Inoculum Count**

10 <sup>-4</sup>		10 <sup>-5</sup>		10 <sup>-6</sup>		Count (CFU/mL)	Theoretical CFU/surface (CFU/mL X 30 µl X 0.001)
TNTC	TNTC	<u>143</u>	<u>96</u>	14	6	1.2 x 10 <sup>7</sup>	3.6 x 10 <sup>5</sup>

\*1.0 mL plated across 3 plates in duplicate

<sup>a</sup>TNTC = Too Numerous To Count

<sup>b</sup> Underlined values used in calculations

<sup>c</sup> NA = Not Applicable

**TABLE IV OF RESULTS**  
**REDUCTION IN NUMBERS OF Saliva (unrestricted)**  
**With UltraBlu™ Toothbrush**

**Test Period:** October 13 – 15, 2008  
**Test Organism:** Saliva (unrestricted)

**Incubation:** 48 ± 4 hours at 25 ± 2°C  
**Exposure Period:** 2 minutes at 37 ± 2°C

	Replicate	Bottle*		10 <sup>-1</sup>	10 <sup>-2</sup>	10 <sup>-3</sup>	10 <sup>-4</sup>	10 <sup>-5</sup>	Count (CFU/mL)	Count (CFU/surface)	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction	
Numbers Control at 2 minutes	A	TNTC <sup>a</sup>	TNTC	TNTC	TNTC	TNTC	TNTC	<u>67</u> <sup>b</sup>	6	6.8 x 10 <sup>5</sup>	1.4 x 10 <sup>7</sup>	2.1 x 10 <sup>7</sup>	7.32	NA <sup>d</sup>	NA
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>70</u>	12						
	B	TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>70</u>	8	7.8 x 10 <sup>5</sup>	1.6 x 10 <sup>7</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>86</u>	LA <sup>e</sup>						
	C	TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>119</u>	3	1.6 x 10 <sup>6</sup>	3.2 x 10 <sup>7</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>207</u>	5						
Treatment at Exposure Time of 2 minutes	A	TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>117</u>	9	9.0 x 10 <sup>5</sup>	1.8 x 10 <sup>7</sup>	2.6 x 10 <sup>7</sup>	7.41	NR <sup>e</sup>	NR
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>62</u>	6						
	B	TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>155</u>	<u>35</u>	1.9 x 10 <sup>6</sup>	3.8 x 10 <sup>7</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>218</u>	4						
	C	TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>149</u>	2	1.1 x 10 <sup>6</sup>	2.2 x 10 <sup>7</sup>				
		TNTC	TNTC	TNTC	TNTC	TNTC	TNTC	<u>77</u>	3						

**Inoculum Count**

10 <sup>-5</sup>		10 <sup>-6</sup>		10 <sup>-7</sup>		Count (CFU/mL)	Theoretical CFU/surface (CFU/mL X 20 μl X 0.001)
TNTC	TNTC	TNTC	TNTC	<u>80</u>	<u>116</u>	9.8 x 10 <sup>8</sup>	2.0 x 10 <sup>7</sup>

\*1.0 mL plated across 3 plates in duplicate

<sup>a</sup> TNTC = Too Numerous To Count

<sup>b</sup> Underlined values used in calculations

<sup>c</sup> NA = Not Applicable

<sup>d</sup> LA = Lab Accident; gross plate contamination

<sup>e</sup> NR = No Reduction

# **APPENDIX II**

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

**HILL TOP RESEARCH CORPORATION**

**APPENDIX II**

**SUMMARY TABLE AND TABLE OF RESULTS**  
**Protocol Amendment #1**

**SUMMARY TABLE OF RESULTS**  
**LOG<sub>10</sub> REDUCTION With UltraBlu™ Toothbrush (engineering prototype)**

Test Organism	Exposure Period	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
<i>Porphyromonas gingivalis</i> , ATCC 33277	Numbers Control at 29 minute exposure period	1.8 x 10 <sup>5</sup>	5.26	NA	NA
	Treatment at Exposure Time of 10 - 2 minute exposures over 29 minutes (2 min. on; 1 min. off; repeat cycles)	1.1 x 10 <sup>3</sup>	3.04	99.39	2.22

NA = Not Applicable  
NR = No Reduction



HTR Study No. 08-129295-106  
 TechLight Systems, Inc.

**TABLE I OF RESULTS**  
**REDUCTION IN NUMBERS OF *Porphyromonas gingivalis*, ATCC 33277**  
**With UltraBlu™ Toothbrush**

**Test Period:** October 31 – November 3, 2008      **Incubation:** 48 ± 4 hours at 25 ± 2°C  
**Test Organism:** *P. gingivalis*, ATCC 33277      **Exposure Period:** 10, 2 minute exposures at 37 ± 2°C

	Replicate	Bottle*			10 <sup>-1</sup>	10 <sup>-2</sup>	10 <sup>-3</sup>	10 <sup>-4</sup>	10 <sup>-5</sup>	Count (CFU/mL)	Count (CFU/surface)	Average Count (CFU/surface)	Average Log <sub>10</sub> CFU/surface	Percent Reduction	Log <sub>10</sub> Reduction
		TNTC <sup>a</sup>	TNTC	TNTC	TNTC										
Numbers Control at 10 - 2 minute exposures over 29 minutes	A	<u>TNTC</u> <sup>a</sup>	TNTC	TNTC	TNTC	<u>151</u> <sup>b</sup>	14	0	0	1.5 x 10 <sup>4</sup>	3.0 x 10 <sup>5</sup>	1.8 x 10 <sup>5</sup>	5.26	NA <sup>c</sup>	NA
		TNTC	TNTC	TNTC	TNTC	<u>150</u>	19	2	0						
	B	TNTC	TNTC	TNTC	TNTC	<u>119</u>	12	1	0	1.1 x 10 <sup>4</sup>	2.2 x 10 <sup>5</sup>				
		TNTC	TNTC	TNTC	TNTC	<u>105</u>	3	1	0						
	C	TNTC	TNTC	TNTC	<u>162</u>	11	6	0	0	1.4 x 10 <sup>3</sup>	2.8 x 10 <sup>4</sup>				
		TNTC	TNTC	TNTC	<u>109</u>	11	1	0	0						
Treatment at Exposure Time of 10 - 2 minute exposures over 29 minutes	A	<u>12</u>	<u>11</u>	<u>14</u>	55	2	1	0	0	3.6 x 10 <sup>1</sup>	7.2 x 10 <sup>2</sup>	1.1 x 10 <sup>3</sup>	3.04	99.39	2.22
		<u>13</u>	<u>13</u>	<u>9</u>	3	1	2	0	0						
	B	<u>32</u>	<u>24</u>	<u>25</u>	10	2	0	0	0	8.6 x 10 <sup>1</sup>	1.7 x 10 <sup>3</sup>				
		<u>26</u>	<u>30</u>	<u>34</u>	9	0	0	0	0						
	C	<u>15</u>	<u>18</u>	<u>24</u>	8	2	0	0	0	5.2 x 10 <sup>1</sup>	1.0 x 10 <sup>3</sup>				
		<u>16</u>	<u>16</u>	<u>15</u>	5	1	0	0	0						

**Inoculum Count**

10 <sup>-5</sup>		10 <sup>-6</sup>		10 <sup>-7</sup>		Count (CFU/mL)	Theoretical CFU/surface (CFU/mL X 30 µl X 0.001)
<u>190</u>	<u>175</u>	22	11	2	2	1.8 x 10 <sup>7</sup>	1.8 x 10 <sup>5</sup>

\*1.0 mL plated across 3 plates in duplicate  
<sup>a</sup>TNTC = Too Numerous To Count

<sup>b</sup> Underlined values used in calculations  
<sup>c</sup> NA = Not Applicable

# **APPENDIX III**

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

**HILL TOP RESEARCH CORPORATION**

**APPENDIX III**

**COPY OF PROTOCOL**

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

**Hill Top**  
RESEARCH

*Hill Top Research Confidential*

**PROTOCOL FOR**

**ASSESSMENT OF RAPID GERMICIDAL (TIME KILL)  
ACTIVITY FOR UltraBlu™ TOOTHBRUSH**

**For:  
TechLight Systems, Inc.**

**HTR Ref.: 08-129295-106**

HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Time Kill)  
Activity Protocol

**TABLE OF CONTENTS**

1.0	INTRODUCTION.....	1
2.0	PURPOSE.....	1
3.0	STUDY SPONSOR AND SPONSOR REPRESENTATIVES.....	1
4.0	TEST FACILITY AND INVESTIGATIVE PERSONNEL.....	1
5.0	RESEARCH STANDARDS.....	2
6.0	EXPERIMENTAL DESIGN.....	2
7.0	PROPOSED EXPERIMENTAL STARTING AND COMPLETION DATES.....	2
8.0	TEST ARTICLE IDENTIFICATION.....	2
9.0	TEST ARTICLE CHARACTERIZATION.....	2
10.0	TEST SYSTEM JUSTIFICATION.....	2
11.0	TEST SYSTEM IDENTIFICATION.....	2
12.0	TEST PROCEDURE.....	3
13.0	STATISTICAL METHOD.....	4
14.0	REPORT.....	4
15.0	DATA RETENTION.....	4
16.0	NOTICE.....	4
17.0	PROTOCOL APPROVAL FORM.....	5
	Appendix I/Materials and Reagents.....	6
	Appendix II/Test Organisms.....	8

HTR Ref: 08-129295-106; Hill Top Research Confidential  
Germicidal (Time Kill)  
Activity Protocol

1.0 **INTRODUCTION**

The UltraBlu™ Toothbrush was developed with blue light technology that has been proposed to be of benefit to people with gum disease. The toothbrush was selected as the vehicle for incorporation of the blue light since it is used daily by everyone and would therefore be most beneficial to the greatest number of people. Since the growth of microorganisms in the mouth has been associated with gum disease, the effect of the blue light on microorganisms occurring in the mouth is being investigated in an *in vitro* test procedure.

2.0 **PURPOSE**

To evaluate the antibacterial effect of the UltraBlu™ Toothbrush "blue light" in reducing bacteria found in human saliva and other selected microorganisms known to be present in the mouth when artificially deposited on a glass surface and exposed to the "blue light" under simulated use conditions without brushing.

3.0 **STUDY SPONSOR AND SPONSOR REPRESENTATIVES**

TechLight Systems, Inc.  
P.O. Box 190  
Dunnellon, FL 34430

REPRESENTATIVE: Michael Barnes  
Telephone No.: (352) 465-4101  
Fax No.: (352) 465-8299

4.0 **TEST FACILITY AND INVESTIGATIVE PERSONNEL**

Hill Top Research Corporation  
6088 Main and Mill Streets  
Miamiville, Ohio 45147  
Telephone No: (513) 831-3114  
Fax No.: (513) 831-1217

Study Director: Kathleen A. Baxter, B.S.  
Study Manager: Jane M. Young, B.S.  
Study Coordinator: Margaret K. Haines, B.S.

October 8, 2008  
Page 1 of 9

HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Tims Kill)  
Activity Protocol

5.0 **RESEARCH STANDARDS**

This study will be run according to the good laboratory practices and Standard Operating Procedures of Hill Top Research Corporation.

6.0 **EXPERIMENTAL DESIGN**

The test article is brought into contact with the glass surface previously inoculated with pooled human saliva or other microorganisms for a specified period of time. At the end of a specified exposure period, the microorganisms are eluted from the glass surface and are then plated to enumerate the survivors. The percent reduction and the  $\log_{10}$  reduction from the original population are calculated.

7.0 **PROPOSED EXPERIMENTAL STARTING AND COMPLETION DATES**

Proposed Experimental Starting Date: October 13, 2008  
Proposed Experimental Termination Date: October 20, 2008  
Proposed Completion Date: November 3, 2008

8.0 **TEST ARTICLE IDENTIFICATION**

The test article, received on September 23, 2008 and identified by the sponsor as UltraBlu™ Toothbrush will be used for testing. The test article will be assigned a Hill Top Research code for generation of the test data.

9.0 **TEST ARTICLE CHARACTERIZATION**

Not applicable.

10.0 **TEST SYSTEM JUSTIFICATION**

The test system has been used historically for this type of study.

11.0 **TEST SYSTEM IDENTIFICATION**

The test organisms to be used in this study will be those contained in whole saliva collected from healthy human volunteers (unrestricted) and those identified in Appendix II. The saliva will be collected from a

October 8, 2008  
Page 2 of 9

November 26, 2008  
Page 18 of 29

HTR Ref.: 08-129295-106; Hill Top Research Confidential  
Germicidal (Time Kill)  
Activity Protocol

number of individuals, pooled, and maintained at 4-8°C prior to use. The test cultures will be propagated and recovered as outlined in Appendix II.

## 12.0 TEST PROCEDURE

- 12.1 A 10-30 µL aliquot of the test culture or human saliva will be inoculated on the interior surface (1" X 1") of a sterile milk dilution bottle and allowed to dry for 30 minutes at ~35°C. The expected numbers of organisms on the surface of the bottle will be ~1 X 10<sup>6</sup> CFU/1" X 1" surface area. The exterior of the bottle will be covered with foil to simulate the mouth (darkness). The brush head will be removed from the toothbrush and the brush will then be inserted into the opening of the bottle so that the "blue light" aligns with the inoculated surface. The brush will then be turned on to activate the "blue light" for an exposure period of 2 minutes. The brush will then be removed from the bottle, 20 mL of PBS and glass beads added to the bottle, and the bottle will be agitated for 30 seconds to remove the surviving organisms. [The brush head will be sanitized with an alcohol wipe between tests to limit any cross-contamination between tests.] The untreated control will have the same exposure time as the "blue light" brush head. After elution with the 20 mL of PBS, all samples will be serially diluted in PBS (9.0 mL) and plated in duplicate by the Spread Plate Technique according to the scheme outlined in Appendix II. Testing will be conducted in triplicate.
- 12.2 Plates and diluent used for anaerobic incubation will be pre-reduced in an anaerobic chamber for at least 4 hours prior to use. [Note: Testing with *Porphyromonas gingivalis* and *Fusobacterium nucleatum* will be conducted in an anaerobic environment.] Following incubation, colony forming units (CFU) per surface (1" X 1") will be counted and numbers of surviving organisms will be calculated.
- 12.3 Both the percent reduction in numbers and the log<sub>10</sub> reductions will be reported for both the human saliva and the test organisms for the "blue light" treatment. A percent reduction, as compared to the numbers control, will be determined against the average result of the three replicates for the exposure period. It will be determined as illustrated below.

$$\% \text{ Reduction} = \frac{[NC(\text{CFU}/\text{surface}) - TA(\text{CFU}/\text{surface})]}{NC(\text{CFU}/\text{surface})} \times 100$$

October 8, 2008  
Page 3 of 9



HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Time Kill)  
Activity Protocol

NC = Average Numbers Control population  
TA = Average Test Article population

13.0 **STATISTICAL METHOD**

Not applicable.

14.0 **REPORT**

The report will include (but not be limited to) identification of the test organism, test procedure, protocol modification (if any), identification of the test material, solvent (if any), test concentration, subculture media, results, statistical analysis, and summary.

15.0 **DATA RETENTION**

The final report will be sent to the sponsor following completion of the study. All records that would be required to reconstruct the study and demonstrate adherence to the Protocol will be maintained. The raw data and the original of the final report will be on file at the testing facility for a period of not less than two years. Permanent records will be in the form of microfilm or other readily retrievable forms.

Upon completion of testing, the test articles will be retained for a period of thirty (30) days, and then destroyed. The test articles will be returned to the sponsor only if the sponsor so requests and agrees to pay the cost of shipping.

16.0 **NOTICE**

If it becomes necessary to make changes in the approved protocol, the revisions and reasons for change will be documented, reported to the sponsor and will become part of the permanent file for that study.

Similarly, the sponsor will be notified as soon as is practical whenever an event occurs that is unexpected and may have an effect on the validity of the study.

October 8, 2008  
Page 4 of 9

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

17.0

**PROTOCOL APPROVAL FORM**

**HILL TOP RESEARCH**

PROTOCOL TITLE

Assessment of Rapid Germicidal  
(Time Kill) Activity

REFERENCE CODE

DISFPROVTK\TechLight

**PROTOCOL APPROVED FOR: HILL TOP RESEARCH CORPORATION**


(11) See page 12

BY:

\_\_\_\_\_  
Kathleen A. Baxter, B.S.  
Study Director  
Microbiological Services Division

\_\_\_\_\_  
Date

Protocol Approved By (Sponsor):

\_\_\_\_\_  


Signed

\_\_\_\_\_  
10/9/08  
Date

\_\_\_\_\_  
Signed

\_\_\_\_\_  
Date

\_\_\_\_\_  
P.O. Box 190, Dunnellon, FL 34430  
Address

Draft Protocol approved 10/9/08. received us mail 10/20/08  
AS

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

HTR Ref: 08-129295-106; Hill Top Research Confidential  
Germicidal (Time Kill)  
Activity Protocol

17.0

**PROTOCOL APPROVAL FORM**

**HILL TOP RESEARCH**

PROTOCOL TITLE

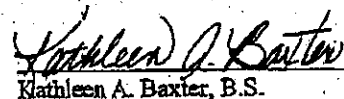
Assessment of Rapid Germicidal  
(Time Kill) Activity

REFERENCE CODE


DISFVPROVTK/TechLight

**PROTOCOL APPROVED FOR: HILL TOP RESEARCH CORPORATION**

BY:

  
Kathleen A. Baxter, B.S.      10-9-08  
Study Director      Date  
Microbiological Services Division

Protocol Approved By (Sponsor):

  
Signed      10/16/08  
Date

Signed      Date

70 Box 190, Dunnellon, FL 34430  
Address

October 8, 2008  
Page 5 of 9

November 26, 2008  
Page 22 of 29

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Time Kill)  
Activity Protocol

**Appendix I**  
**Materials and Reagents**

October 8, 2008  
Page 6 of 9

November 26, 2008  
Page 23 of 29

HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Time Kill)  
Activity Protocol

## Appendix I

### 1.0 MATERIALS AND REAGENTS

- 1.1 Phosphate Buffered Saline (PBS); 20 mL/tube; 9.0 ml/tube (HTR I-142)
- 1.2 Schaedler Anaerobic Blood Agar (HTR I-164); 22-25 mL/dish
- 1.3 Schaedler Anaerobic Broth (Difco or equivalent) with additives; 10 mL/tube
- 1.3 OOP's III Agar (HTR I-161); 22-25 mL/dish
- 1.4 Sabouraud Dextrose Agar (HTR I-086); 22-25 mL/dish
- 1.5 Sabouraud Dextrose Broth (HTR I-083); 10 mL/tube
- 1.5 Sterile, wide-mouth milk dilution bottles, Corning #1368
- 1.6 Sterile Petri dishes
- 1.7 Sterile Pipets, 1.0 mL
- 1.8 ColiRollers™ Plating Beads (Novagen)
- 1.8 Incubators, 33-38°C; anaerobic, 35±2°C, 25±2°C; aerobic
- 1.9 Laminar flow hood
- 1.10 Sterile 10µL inoculating loops
- 1.11 Miscellaneous laboratory equipment

### 2.0 REFERENCES

- 2.1 Official Methods of Analysis of AOAC International, 18th Edition, Revision 1, 2006, Chapter 6, Section 6.3.03 A.
- 2.2 Hill Top Research Media Standard Operating Procedures Manual
- 2.3 Hill Top Research Standard Operating Procedures Manual

October 8, 2008  
Page 7 of 9

November 26, 2008  
Page 24 of 29

HTR Study No. 08-129295-106  
TechLight Systems, Inc.

HTR Ref.: 08-129295-106; *Hill Top Research Confidential*  
Germicidal (Time Kill)  
Activity Protocol.

**Appendix II**  
**Test Organisms**

October 8, 2008  
Page 8 of 9

November 26, 2008  
Page 25 of 29

HTR Ref.: 08-129295-106; Hill Top Research Confidential.  
 Germicidal (Time Kill)  
 Activity Protocol

HTR Study No. 08-129295-106  
 TechLight Systems, Inc.

**Test Organisms**

Test Organism	ATCC #	Incubation for Growth		Growth Medium	Incubation for Recovery		Recovery Medium
		Time (± 4hrs)	Temp (± 2°C)		Time (± 4hrs)	Temp (± 2°C)	
<i>Candida albicans</i>	18804	72	25***	SDB	72	25***	SDA
<i>Fusobacterium nucleatum</i>	10953	48-72	33-38**	MSB	48-72	33-38**	MSA
<i>Porphyromonas gingivalis</i>	33277	48-72	33-38**	MSB	48-72	33-38**	MSA
Human Saliva	NA	NA	NA	NA	5 days	33-38**	OOP's III

\*\* Anaerobic      \*\*\* Aerobic      NA = Not applicable

MSB/MSA = Modified Schaedler Broth/Agar  
 SDB/SDA = Sabouraud Dextrose Broth/Agar  
 OOP's III = B.F. Turng, G. E. Minah and W.A Falkler. Development of an Agar Medium for Detection of Oral H<sub>2</sub>S Producing Organisms. J. Dent. Res. 76: 226, 1997

October 8, 2008  
 Page 9 of 9



HILL TOP RESEARCH  
6088 MAIN AND MILL STREETS  
MIAMIVILLE, OHIO 45147

PROTOCOL AMENDMENT #1      ASSESSMENT OF RAPID GERMICIDAL (TIME KILL)  
ACTIVITY FOR UltraBlu™ TOOTHBRUSH

HTR STUDY NO.:                      09-129295-106

SPONSOR & ADDRESS:              TechLight Systems, Inc.  
P.O. Box 190  
Dunnellon, FL 34430

SPONSOR'S REPRESENTATIVES:    Michael Barnes

**PROTOCOL MODIFICATIONS:**

The following sections of the protocol will be amended to read:

Section 8.0    **TEST ARTICLE IDENTIFICATION**

An additional test article, received on October 29, 2008 and identified by the sponsor as UltraBlu™ Toothbrush (engineering prototype) will be used for testing. The test article will be assigned a Hill Top Research code for generation of the test data.

Section 11.0    **TEST SYSTEM IDENTIFICATION**

The test organism to be used for testing, with the engineering prototype brush received on October 29, will only be *Porphyromonas gingivalis* as outlined in Appendix II.

Section 12.0    **TEST PROCEDURE**

12.1    A 10 µL aliquot of the test culture will be inoculated on the interior surface (~5 mm X 5 mm) of a sterile milk dilution bottle and allowed to dry for 30 minutes at ~35°C. The expected numbers of organisms on the surface of the bottle will be ~1 X 10<sup>5</sup> CFU/5 mm X 5 mm surface area. The exterior of the bottle will be covered with foil to simulate the mouth (darkness). The brush (no bristles) will then be inserted into the opening of the bottle so that the "blue light" aligns with the inoculated surface. The brush will then be turned on to activate the "blue light" for 10, 2 minute exposure periods over 29 minutes of time (2 minutes on, 1 minute off, etc) for a total of 20 minutes of exposure to the "blue light." The brush will then be removed from the bottle, 20 mL of PBS and glass beads added to the bottle, and the bottle will be agitated for 30 seconds to remove the surviving organisms. [The brush head will be sanitized with an alcohol wipe and allowed to air dry between tests to limit any cross-contamination between tests.] The



HTR Study No. 08-129295-106  
TechLight Systems, Inc.

untreated control will have the same exposure time as the "blue light" brush head. After elution with the 20 mL of PBS, all samples will be serially diluted in PBS (9.0 mL) and plated in duplicate by the Spread Plate Technique according to the scheme outlined in Appendix II. Testing will be conducted in triplicate.

APPROVED FOR: HILL TOP RESEARCH CORPORATION

BY: Kathleen A. Baxter 10/29/08  
Kathleen A. Baxter, B.S. Date  
Study Director

ACCEPTED BY: TechLight Systems, Inc.

BY: [Signature] 10-31-08  
Michael Barnes Date

## **RECORD RETENTION AND PUBLICATION NOTICE**

Hill Top Research Corporation submits this report with the understanding that the Sponsor may use the Study report for its own purposes. The Sponsor agrees, however, not to use the name Hill Top Research Corporation, or any derivation thereof, in advertising or marketing without the prior written consent of Hill Top.

All study documents and a copy of the final report will be on file in the Hill Top Research Records Center at Main and Mill Streets, Miami, Ohio 45147, for a period of not less than two years, unless indicated otherwise on the study protocol or financial contract. A permanent record will be retained in the form of microfilm or in another readily retrievable form.